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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO. SRS LABS.257A | APPLICATION NO. 09/411,143 |
| | APPLICANT Yuen, et al. | |
| | FILING DATE October 4, 1999 | GROUP 2837 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|----|-----------------|----------|----------------|-------|----------|---------------------------------|
| <i>Tmj</i> | 1 | 3,921,104 | 11/18/75 | Gundry | | | |
| <i>Tmj</i> | 2 | 4,052,560 | 10/04/77 | Santmann | | | |
| <i>Tmj</i> | 3 | 4,698,842 | 10/06/87 | Mackie et al. | | | |
| <i>Tmj</i> | 4 | 4,891,560 | 01/02/90 | Okumura et al. | | | |
| <i>Tmj</i> | 5 | 5,067,157 | 11/19/91 | Ishida et al. | | | |
| <i>Tmj</i> | 6 | 5,822,438 | 10/13/98 | Sekine et al. | | | |
| <i>Tmj</i> | 7 | 5,892,830 | 04/06/99 | Klayman | | | |
| <i>Tmj</i> | 8 | 6,285,767 | 09/04/01 | Klayman | | | |
| <i>Tmj</i> | 9 | 6,597,791 | 07/22/03 | Klayman | | | |
| <i>Tmj</i> | 10 | 6,718,039 | 04/06/04 | Klayman et al. | | | |

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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|----|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| <i>Tmj</i> | 11 | 35 014 | 02/28/66 | Finland | | | | X |
| <i>Tmj</i> | 12 | JP62097500 | 05/06/87 | Japan | | | | X |

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|---------------------|--|
| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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| EXAMINER <i>Tmj m. jahan</i> | DATE CONSIDERED <i>9/27/04</i> |
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